

### 3 - Reduction of singularities

mercoledì 8 novembre 2023 10:00

#### Embedded resolution of planar curves

Let  $f \in \mathbb{C}[[x, y]]$  be a formal power series (with  $f \neq 0$ )

Write  $f = f_m + f_{m+1} + \dots$  with  $f_j$  homogeneous polynomials of degree  $j$ , and  $f_m \neq 0$ . The number  $m \in \mathbb{N}$  is called the multiplicity of  $f$  at  $0$ .

We will assume that  $m \geq 1$ , so that  $f$  defines a (formal) singular curve  $C = \{f=0\} \subset (\mathbb{C}^2, 0)$  at  $0$ .

Often  $f \in \mathbb{C}\{x, y\}$ , i.e.,  $f = \sum_{i,j} f_{ij} x^i y^j$ , with  $|f_{ij}| \leq \rho^{i+j}$  for some  $\rho > 0$

Notice that  $C$  is regular at  $0 \Leftrightarrow m = 1$ .

We want to eliminate the singularities of  $C$  via blow-ups.

Def: Let  $\pi: X_\pi \rightarrow (\mathbb{C}^2, 0)$  be the blow-up of  $0$ . The strict transform of  $C$  by  $\pi$  is defined as  $C_\pi = \overline{\pi^{-1}(C \setminus \{0\})}$ .

Algebraically:  $\pi$  is described in two charts:

$$U_x: \pi(x_1, y_1) = (x_1, x_1 y_1) \mapsto \pi^* f = \sum_{j \geq m} x_1^j f_j(1, y_1) = x_1^m \underbrace{(f_m(1, y_1) + x_1 f_{m+1}(1, y_1) + \dots)}_{f_\pi}$$

At any point  $p_1 = (0, a_1)$  in the exceptional divisor, we get

a formal power series  $(f_\pi)_{p_1}$  defining locally  $C_\pi$ .

Theorem (embedded resolution of planar curves). Let  $C$  be a reduced planar curve. Then there exists a finite sequence  $\pi$  of blow-ups (at singular points of the strict transforms of  $C$ ) such that  $C_\pi$  is a regular curve.

#### Local Computations

When we blow-up the origin via  $\pi$ ,  $\forall p \in \pi^{-1}(0)$  we have that

$m_p(f_\pi) \leq m_0(f)$ , with equality if and only if  $f_m(x, y) = c_1(y - a, x)^m$ .

Or  $f_m(x, y) = c x^m$ , but we can avoid this situation by exchanging  $x$  and  $y$ .

By proceeding by induction on  $m = m_0(f)$ , we may assume we are in this case. Up to a linear change of coordinates  $y^{(1)} = \sqrt[m]{C_1}(y - a_1x)$ , we may assume that  $f_m(x, y) = y^m$ .

We blow-up the origin again:  $m_p(f_{a_2}) \leq m$ , with equality if and only if  $f_{a_2, m}(x, y) = (y - a_2x)^m$ . We may assume  $a_2 = 0$  by a change of coordinates  $y^{(2)} = y^{(1)} - a_2x^2$ .

By induction we get that  $m_p(f_{a_k}) = \dots = m_p(f_0) = m \Leftrightarrow$  up to a change of coordinates of the form  $y \mapsto cy - a_1x - \dots - a_kx^k$ , we get that

$$f_{a_k}(x_k, y_k) = y_k^m + \text{h.o.t.}$$

In other terms, in these new coordinates,  $f = y^m + \sum_{i+j > km} f_{ij} x^i y^j$

If this happens indefinitely, we have that  $f = (y - \phi(x))^m$   $\phi \in \mathbb{C}[[x]]$

But then  $C$  is not reduced, a contradiction

□

## NEWTON'S ROTATING RULERS

Theorem. Let  $C = \{f(x, y) = 0\}$  be a curve passing through 0. Suppose  $x \notin f$ .

Then  $\exists \phi \in \mathbb{C}[[x^{1/m}]]$  for some  $m \in \mathbb{N}^*$  so that  $f(x, \phi) = 0$ .

← Puiseux series

$v_{x=0}(f)$  local intersection with  $\{x=0\}$

Write  $f = \sum f_{ij} x^i y^j$ . By assumption,  $n := \min \{j \mid f_{0j} \neq 0\} < +\infty$ .

On  $\mathbb{R}_{\geq 0}^2$ , consider  $\Delta(f) = \text{ConvHull}(\{(0, j) + \mathbb{R}_{\geq 0}^2 \mid f_{ij} \neq 0\})$ , and

$N(f) = \partial \Delta(f)$ , called the Newton polygon of  $f$ .

Set  $(m_j, n_j)$  the points in  $N(f)$  where  $N(f)$  is not  $C^1$  and  $m_j \nearrow, n_j \searrow$

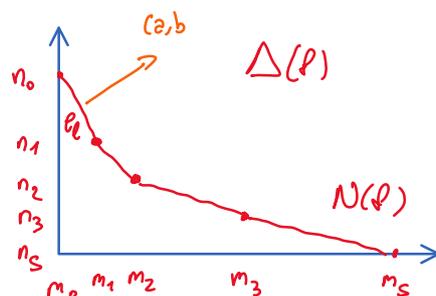
We may assume  $m_s = 0$  (if not,  $\phi = 0$ )

$(0, n_0)$  is called leading vertex  $v_e$

$(0, n_0) \xrightarrow{e_e} (m_1, n_1)$  is called leading edge

Take  $(a, b) =: w$  to be a primitive ( $\gcd(a, b) = 1$ )

vector in  $(\mathbb{N}^*)^2$  orthogonal to  $e_e$



The initial part of  $f$  (w.r.t.  $\omega$ ) is  $in_\omega(f) = \sum_{(i,j) \in e_e} f_{ij} x_1^i y_1^j$

We now perform the  $\omega$ -weighted blow-up  $\pi: X_n \rightarrow (\mathbb{C}^2, 0)$

We work on the chart:  $(x_1, y_1) \xrightarrow{\pi} (x_1^a, x_1^b y_1)$

$$\pi^* f = \sum f_{ij} x_1^{ai+bj} y_1^j = x_1^{bn} \left( \underbrace{\sum_{(i,j) \in e_e} f_{ij} y_1^j}_{P(y_1)} + \langle x \rangle \right)$$

$$x_1^{bn} P(y_1) = \pi^* in_\omega(f)$$

The strict transform  $C_\pi = \pi^* C$  intersects the exceptional divisor  $E_1 = \{x_1 = 0\}$  at the roots of  $P$ .

A) If  $\forall \alpha$  root of  $P$ ,  $ord_p(\alpha) < n$ , we have that  $\nu_{x_1, \alpha}(f_\pi)$  strictly decreases, and we conclude by recursion: we truncate:  $(\tilde{x}_1, \tilde{y}_1) \mapsto (\tilde{x}_1, \tilde{y}_1 + \alpha)$

$$\phi = \alpha x_1^{\frac{b}{a}} + x_1^{\frac{b}{a}} y_1 \quad \text{for the Puiseux series.}$$

B) If  $P = c(y_1 - \alpha)^n$ , we must have  $\alpha \neq 0$  ( $e_e$  contains at least two vertices), and (by Newton binomial formula),  $(\frac{b}{a}, n-1) \in e_e$ , and  $\alpha = \pm 1$ . In this case, we perform the change of coordinates  $(x', y') \mapsto (x', y' + \alpha x'^{\frac{b}{a}})$

$$\phi = \alpha x'^{\frac{b}{a}} + y' \quad \text{for the Puiseux series}$$

This change of coordinates erases the vertices in  $e_e$  (but for the leading vertex  $v_0$ ), and we get a new leading edge  $e'_e$  associated to a weight  $\omega' = (a', b')$  with  $\frac{b'}{a'} > \frac{b}{a}$ .

This process either finishes, or we fall  $\infty$ -many times in the situation B.

In this case, the formal change of coordinates  $(x^\infty, y^\infty) \mapsto (x^\infty; y^\infty + \alpha_1 x^{\frac{b_1}{a_1}} + \alpha_2 x^{\frac{b_2}{a_2}} + \dots)$  gives a map  $f(x^\infty, y^\infty)$  with  $(y^\infty)^n \mid f$ , and  $C$  is not reduced.  $\square$

Rem: For the Puiseux series, there are choices on the root  $\alpha$ , that correspond to two different phenomena:

- different branches of  $C$
- different lifts of a branch of  $C$  on orbifold charts.

$$\text{Ex: } y^3 - 3xy^2 + 3x^2y + x^3 + x^2y^2 - x^4 = (y-x)^3 + x^2(y-x)(y+x)$$

$$\Rightarrow (y')^3 + y'x'^2(y'+2x')$$

$$y'^2 + x'^2y' + 2x'^3$$

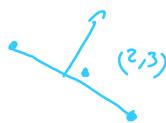
$$x_2^6 y_2^2 + x_2^7 y_2 + 2x_2^6$$

$$x_2^6 (y_2^2 + 2) + x_2 y_2$$

Splits into 2

$$y_2 = y_2' \pm i\sqrt{2}$$

$$y_2' (y_2' \pm 2i\sqrt{2}) + x_2 (y_2' \pm i\sqrt{2}) = 0$$



## Maximal contact

We have seen how we are led to often change coordinates polynomially in order to have them better adapted to branches:  $y=0$  should have maximal contact (= local intersection) with the branch that we want to either solve or parametrize (Puiseux).

Regular curves that maximise the intersection multiplicity with a given branch are called "curves of maximal contact", and are a geometric tool to get a cleaner exposition of the resolution algorithm.

In this setting, they always exist (convergent)

Rem: the analogous concept in higher dimensions is a fundamental tool for the proof of MIRONKA's theorem.

## Reduction of Singularities of foliations

Thm (SEIDENBERG, 1968) Let  $F$  be a foliation on  $(\mathbb{C}^2, 0)$ .

There exist a finite sequence of blow-ups of (singular points)  $\pi: X_n \rightarrow (\mathbb{C}^2, 0)$  so that each singularity is elementary. Up to further blow-up, we can obtain reduced singularities.

Def: a foliation  $F$  in  $(\mathbb{C}^2, 0)$  is elementary if it is generated by  $X$  that is either regular ( $X(0) \neq 0$ ) or whose linear part  $X^{(1)}$  is non nilpotent.

In the latter case, denote by  $\lambda_1 \neq 0, \lambda_2$  the eigenvalues of  $X^{(1)}$ , and set  $\alpha := \frac{\lambda_2}{\lambda_1} \in \mathbb{C}$ .

Then  $X$  is reduced if  $X$  is regular, or  $\alpha \in \mathbb{C} \setminus \mathbb{Q}_{>0}$ .

Rem. In the terminology of [McQUILLAN-PANARZOLLO]:

elementary  $\leftrightarrow$  log-canonical

reduced  $\leftrightarrow$  canonical

elementary non-reduced  $\leftrightarrow$  radial

## Local computations

The approach via local computations consists in studying the behavior of singularities under blow-up.

We describe a foliation  $F$  via a tangent 1-form  $\omega = f dx + g dy$ .

In order to control the singularities under blow-up, we introduce two quantities.

$\nu_0(F) = \nu_0(\omega) = \min(\text{ord}_0(f), \text{ord}_0(g))$  the order;

$\mu_0(F) = \mu_0(\omega) = (f=0) \cdot (g=0)_0$  the multiplicity, a Milnor number  
 $\uparrow$   
 intersection multiplicity at 0

These quantities do not depend on the choice of  $\omega$ , nor on the coordinates  $(x, y)$  at 0 (we call  $\nu_0$  and  $\mu_0$  "invariants").

Rem: For an hypersurface singularity  $X = \{s=0\}$ , the Milnor number is defined as  $\mu_0(X) = \frac{\mathbb{C}\langle x^1, \dots, x^d \rangle}{\langle \frac{\partial s}{\partial x^1}, \dots, \frac{\partial s}{\partial x^d} \rangle} = \left( \frac{\partial s}{\partial x^1} = 0 \right) \cdot \dots \cdot \left( \frac{\partial s}{\partial x^d} = 0 \right)_0$ .

Hence  $\mu_0(\omega) = \mu_0(X)$  if  $\omega = ds$ .

Notice that  $\nu_0(F) = 1 \Leftrightarrow F$  is elementary or nilpotent  
 $\mu_0(F) = 1 \Leftrightarrow F$  is elementary not Saddle-Node

If we blow-up  $0 \in \mathbb{C}^2$ , we can express the projection  $\pi: X_\pi \rightarrow (\mathbb{C}^2, 0)$  via two charts: on  $U_x$  we have  $\pi(x_1, y_1) = (x_1, x_1 y_1)$ , and in  $U_y$  we get  $(x_1 y_1, y_1)$ .

By lifting  $\omega$ , we get  $\pi^* \omega = f(x_1, x_1 y_1) dx_1 + g(x_1, x_1 y_1) (y_1 dx_1 + x_1 dy_1)$   

$$= \underbrace{(xf + yg)}_{h''} \circ \pi \cdot \frac{dx_1}{x_1} + (xg) \circ \pi dy_1$$

If  $\nu = \nu_0(\omega)$ , then

$$\pi^* \omega = x_1^\nu \left( \underbrace{f^{(\nu)}(1, y_1) + y_1 g^{(\nu)}(1, y_1)}_{\tau(y_1) = h^{(\nu+1)}(1, y_1)} + \langle x_1 \rangle \right) dx_1 + x_1^{\nu+1} \left( g^{(\nu)}(1, y_1) + \langle x_1 \rangle \right) dy_1$$

We distinguish two cases:

• non-dicritical case:  $\tau(y_1) \neq 0$ . The saturation is  $\omega_\pi = x_1^{-\nu} \pi^* \omega$ , and  $p_0 = (0, y_0) \in S(\omega_\pi)$  is singular  $\Leftrightarrow y_0$  is a root of  $\tau$ .

Rem:  $\infty \in S(\omega_\pi) \Leftrightarrow h^{(\nu+1)}([0:1]) = 0 \Leftrightarrow h^{(\nu+1)}$  has not the term  $y^{\nu+1} \Leftrightarrow x \mid h^{(\nu+1)} \Leftrightarrow x \mid g^{(\nu)}$

Hence  $\omega_\pi$  has at most  $\nu+1$  singularities (and exactly  $\nu+1$  if counted with mult.).

• dicritical case:  $\tau(y_1) \equiv 0$ . Notice that  $g^{(\nu)} \neq 0$  (or we would have  $g^{(\nu)} \equiv f^{(\nu)} \equiv 0$  and  $\nu_0(\omega) > \nu$ ). Hence  $\omega_\pi = x_1^{-(\nu+1)} \pi^* \omega$  defines a generically transverse foliation  $F_\pi$  with finitely many ( $\leq \nu$ ) points  $p \in E_0$  where  $g^{(\nu)}(p) = 0$ , that are either tangency or singular points of  $F_\pi$ . ( $T(\omega_\pi) = \text{tangency}$ ,  $S(\omega_\pi) = \text{singular}$ ,  $TS(\omega_\pi) = T \cup S$ ).

In fact,  $p_0 = (0, y_0) \in S(\omega_\pi) \Leftrightarrow g^{(\nu)}(p_0) = 0$  and  $h^{(\nu+2)}(p_0) = 0$ .

Rem:  $\infty \in TS(\omega_\pi) \Leftrightarrow f^{(\nu)}$  has no term  $x^\nu \Leftrightarrow y \mid f^{(\nu)}$ .

$\infty \in S(\omega_\pi) \Leftrightarrow x \mid f^{(\nu)}$  and  $x \mid h^{(\nu+2)}$ , or equivalently  $x \mid g^{(\nu+1)}$ .

Ex:  $\omega = y dx - (x + \varepsilon y) dy$   $h = \varepsilon y^2$ :  $\left\{ \begin{array}{l} \varepsilon = 0 \text{ dicritic } \omega_\pi = -dy \text{ one singularity} \\ \varepsilon \neq 0 \text{ non dicritic } \omega_\pi = \varepsilon y^2 dx - x(1 + \varepsilon y) dy \end{array} \right.$

Prop. let  $C_f = \{f=0\}$  and  $C_g = \{g=0\}$  be curves at  $0 \in \mathbb{C}^2$  without common branches. Then  $\mu_0(f, g) = \nu_0(f) \cdot \nu_0(g) + \sum_{p \in E_0} \mu_p(f_\pi, g_\pi)$  where  $(C_f)_\pi = \{f_\pi=0\}$  is the strict transform of  $C_f$ , and similarly for  $C_g$ .

Proof:  $C_f \cdot C_g = \pi^* C_f \cdot \pi^* C_g = (\tilde{C}_f + \nu_f E) \cdot (\tilde{C}_g + \nu_g E) =$   
 $\uparrow$   
 projection formula  
 $= \tilde{C}_f \cdot \tilde{C}_g + \nu_f \underbrace{E \cdot \tilde{C}_g}_{-E \cdot \nu_g E} + \nu_g \underbrace{E \cdot \tilde{C}_f}_{-E \cdot \nu_f E} + \nu_f \nu_g \underbrace{E \cdot E}_{-1}$   
 $= \sum_{p \in E} \mu_p(\tilde{f}, \tilde{g}) + \nu_f \nu_g.$  □

We apply this computation to PFAFFIAN forms (= 1-forms).

error in the proof (dicritical case)

Prop ([IY Thm 8.33]) let  $F$  be a singular foliation in  $(\mathbb{C}^2, 0)$ , and  $\pi$  the blow-up of  $0$ .

We have:  $\sum_p \mu_p(F_\pi) = \mu_0(F) + k + 1 - k^2$  (☆) where

$$k = \text{ord}_E(\pi^* F) = \text{ord}_E(\pi^* \omega) = \begin{cases} \nu_0(\omega) & \text{non dicritical case} \\ \nu_0(\omega) + 1 & \text{dicritical case} \end{cases}$$

Proof: Up to a linear change of coordinates, we may assume that  $\omega \notin S(\omega_a)$

• Non-dicritical case: this is equivalent to  $x \nmid g^{(\nu)}$ , i.e.  $(x \cdot g)_0 = \nu (=k)$

• Dicritical case: this is equivalent to  $x \nmid f^{(\nu)}$  or  $x \nmid g^{(\nu+1)}$ .

Notice that from  $y g^{(\nu)} = -x f^{(\nu)}$ , we get  $x \mid g^{(\nu)}$ .

If  $x \nmid g^{(\nu+1)}$ , then  $(x \cdot g)_0 = \nu+1 (=k)$ .

If  $x \mid g^{(\nu+1)}$ , we have  $x \nmid f^{(\nu)}$ . Up to a change of coordinates  $(x, y) = (x + \tilde{y}^2, \tilde{y})$ ,

we get again  $x \nmid g^{(\nu+1)}$ .

Write  $f^{(\nu)} = y l$   $g^{(\nu)} = -x l$   $l$  homogeneous of degree  $\nu-1$ , with  $l = y^{\nu-1} + \dots$

Then  $\pi^* \omega = (\tilde{y} l \circ \pi + f^{(\nu)} \circ \pi)(dx + 2\tilde{y} d\tilde{y}) - (x + \tilde{y}^2) l \circ \pi d\tilde{y}$

$$= (\tilde{y} l \circ \pi + f^{(\nu)} \circ \pi) dx + (-x l \circ \pi + \tilde{y}^2 l \circ \pi + 2\tilde{y} f^{(\nu)} \circ \pi) d\tilde{y}$$

$$(\tilde{y} l(x, \tilde{y}) + \mathcal{H}^{\nu+1}) dx + (-x l(x, \tilde{y}) + \tilde{y}^{\nu+1} + x P^{(\nu)}(x, \tilde{y}) + \mathcal{H}^{\nu+2}) d\tilde{y}$$

$\uparrow$   
homogeneous of degree  $\nu$

Notice that in particular,  $x \nmid g$ , which is equivalent to asking that  $\{g=0\}$  and  $\{h=0\}$  have no common branches. In fact:

If  $\phi$  is irreducible and  $\phi \mid g$ ,  $\phi \mid h$ , then  $\phi \mid h - yg = xf$ . If  $\phi \nmid f$ , then  $\omega$  is not reduced  $\Rightarrow \phi \mid x$ . Hence a common branch must be  $\{x=0\}$ .

Write  $\omega = f dx + g dy$ ,  $\pi(x_i, y_i) = (x_i, x_i y_i)$ , so that  $\pi^* \omega = \frac{h \circ \pi}{x_i} dx_i + x_i g \circ \pi dy_i$

We compute  $(h \cdot g)_0 = (xf \cdot g)_0 = (x \cdot g)_0 + (f \cdot g)_0 = k + \mu_0$

Moreover,  $\omega_\pi = \frac{\pi^* \omega}{x^k} = \frac{h \circ \pi}{x^{k+1}} dx + \frac{g \circ \pi}{x^{k-1}} dy$

Denote by  $D_g = \{g=0\}$  and  $D_h = \{h=0\}$  the divisors associated to  $g$  and  $h$

$$\begin{aligned} \text{Then } \sum \mu_p(\omega_\pi) &= (\pi^* D_h - (k+1)E) \cdot (\pi^* D_g - (k-1)E) \\ &= \underbrace{\pi^* D_h \cdot \pi^* D_g}_{D_h \cdot D_g = \mu_0 + k} - \underbrace{(k+1)E \cdot \pi^* D_g}_{\substack{\text{proj. formula} \\ 0}} - \underbrace{(k-1)\pi^* D_h \cdot E}_{\substack{\text{proj. formula} \\ 0}} + \underbrace{(k^2-1)E^2}_{-1} \quad \square \end{aligned}$$

Proof of reduction to elementary singularities.

Let  $F$  be a non-elementary singularity, of order  $\nu$  and multiplicity  $\mu$ .

By  $\star$ , we have that  $\mu_p(\omega_\pi) \leq \sum \mu_p(\omega_p) = \mu_0 + \begin{cases} -\nu^2 + \nu + 1 & \text{non-critical} \\ -\nu^2 - \nu + 1 & \text{critical} \end{cases}$

Notice that  $-\nu^2 + \nu + 1 < 0 \quad \forall \nu \geq 2$ , and  $-\nu^2 - \nu + 1 < 0 \quad \forall \nu \geq 1$ .

We deduce that the multiplicity strictly decreases under blow-up, unless  $F$  is non-critical of order  $1 = \nu$ .

The only non-elementary case to be studied is  $F$  nilpotent ( $\Rightarrow$  non-critical)

$\omega = f(x, y) dx + \underbrace{(y + \tilde{g}(x, y))}_{\substack{\uparrow \\ \text{coeff } \pm \text{ up to } \nu \\ g}} dy$ ,  $\nu_0(f), \nu_0(g) \geq 2$ . Set  $\mu = \mu_0(\omega)$ .

We may assume  $g = y$ : by Weierstrass preparation, we can write  $g = (y + g_0(x))u$  with  $u$  a unit; notice that  $\text{ord}_x g_0 = \text{ord}_x (g(x, 0))$ , but in general  $g_0 \neq g(\cdot, 0)$ .

We then change coordinates  $(x, y) = (x, y + g_0(x))$   $y = y' - g_0(x)$ , and get

$$\omega = f(x, y' - g_0(x)) dx + y' u(x, y' - g_0(x)) \left( dy' - \frac{dg_0(x)}{dx} dx \right)$$

$$= f'(x, y') dx + y' u'(x, y') dy'$$

We can finally divide by the unity  $u'$ , and get an equivalent 1-form  $f''(x, y') dx + y' dy'$

In this case, we write  $f'' = \sum_{j \geq \mu}'' \partial_j x^j + y \tilde{f}(x, y)$ , with  $\text{ord}_0(\partial) = \mu$ .

$$\sum_{j \geq \mu}'' \partial_j x^j \quad \underbrace{\sum_{\substack{i, j \\ j \geq 1}} f_{ij} x^i y^j}$$

If we denote by double prime the coeff. in the new form, we get  $f_{11}'' = \frac{f_{11} - g_{20}}{b}$

$$\begin{aligned} \text{We blow-up, and get } \omega_1 &= \left( \frac{f(x, xy) + y^2}{x} \right) dx + xy dy \\ &= \left( \frac{\partial(x)''}{x} + y \tilde{f}(x, xy) + y^2 \right) dx + xy dy \\ &= \left( \sum_{\mu} x^{\mu-1} \langle x^\mu \rangle + f_{1,1} xy + \langle xy^2, x^2 \rangle + y^2 \right) dx + xy dy \end{aligned}$$

We get a unique singularity at  $p_1 = [1:0]$ , with the following invariants.

If  $\mu \geq 3$ :  $(\nu_1=2, \mu_1=\mu+1)$  case A

If  $\mu = 2$ :  $(\nu_1=1; \mu_1=3)$  case B

Case A: we blow-up further; we get  $h^{(3)} = x^3 \partial_3 + f_{1,1} x^2 y + 2xy^2 \neq 0$  (non trivial).

$\Rightarrow$  we have a singularity at  $\infty$  (of multiplicity 1), and at least another.

Then we get  $\sum_{p \in S_2} \mu_p(\omega_2) = \mu + 1 - 1 = \mu$ , and  $\#S_2 \geq 2$ : the multiplicity drops.

Case B: the singularity at  $p_1$  is nilpotent:

$$\omega_1 = (\partial_2 x + \partial_3 x^2 + f_{1,1} xy + y^2 + xy \langle x, y \rangle) dx + xy dy$$

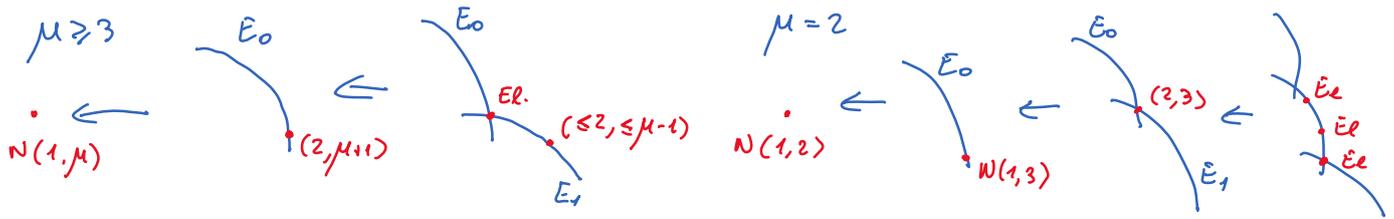
This singularity has multiplicity 3, and the new  $f_{11}$  is  $1 - 1 = 0$

We deduce that  $h_2^{(3)} = l_0 x^3 + l_2 xy^2$   $l_0, l_2 \neq 0$  which has three distinct

zeros. Hence after 3 blow-ups, we get from  $(\overset{\nu}{1}, \overset{\mu}{2})$  to  $3 \times (1, 1)$

$\uparrow$   
elementary

$N_1$  / potent singularities:



## Newton polygon approach

[PELLETIER, PANAZZOLO]

In this approach, we work with the vector field  $\chi = f \partial_x + g \partial_y$  instead.

While in general vector fields do not pull back, they do as long as we blow-up

a singularity: If  $\pi(x_1, y_1) = (x, y)$ , then  $\pi^{-1}(x, y) = (x, \frac{y}{x})$ , and

$$\begin{aligned} \pi^* \chi_{\pi^{-1}} &= ((\pi^{-1})_* \chi)_{\pi^{-1}} = d\pi^{-1} \chi_{\pi^{-1}} = f \circ \pi \partial_{x_1} + \frac{-y f \circ \pi + x g \circ \pi}{x^2} \partial_{y_1} = \\ d\pi^{-1} &= \begin{pmatrix} 1 & 0 \\ -\frac{y}{x^2} & \frac{1}{x} \end{pmatrix} = f \circ \pi \partial_{x_1} + \frac{-y_1 f \circ \pi + f \circ \pi}{x_1} \partial_{y_1} \end{aligned}$$

But  $x_1 \mid -y_1 f \circ \pi + g \circ \pi \Leftrightarrow f(0) = g(0) = 0 \Leftrightarrow 0 \in S(\chi)$ .

Rem: Dans les calculs, il vaut mieux laisser  $da'$  en fonction de  $x_1, y_1$ .

$$da = \begin{pmatrix} 1 & 0 \\ y_1 & x_1 \end{pmatrix} \quad da' = \begin{pmatrix} 1 & 0 \\ -\frac{y_1}{x_1} & \frac{1}{x_1} \end{pmatrix} \quad \pi^* \chi = f \circ \pi \left( \underbrace{\partial_{x_1} - \frac{y_1}{x_1} \partial_{y_1}}_{da'(\partial_x)} \right) + g \circ \pi \left( \underbrace{\frac{1}{x_1} \partial_{y_1}}_{da'(\partial_y)} \right)$$

More generally, consider weighted blow-ups:  $\pi(x_1, y_1) = (x_1^a; x_1^b y_1^c)$ ,

or toric blow-ups:  $\pi(x_1, y_1) = (x_1^a y_1^c, x_1^b y_1^d)$   $\pi(\bar{z}) = \bar{z}^A$   $A = \begin{pmatrix} a & c \\ b & d \end{pmatrix}$

$$d\pi = \begin{pmatrix} a x_1^{a-1} y_1^c & c x_1^a y_1^{c-1} \\ b x_1^b y_1^{d-1} & d x_1^b y_1^{d-1} \end{pmatrix} \quad \det d\pi = (ad - bc) x_1^{a+b-1} y_1^{c+d-1}$$

$$(d\pi)^{-1}_{(x,y)} = \frac{1}{\det d\pi} \begin{pmatrix} \frac{dx_1}{x_1^a y_1^c} & -\frac{c x_1}{x_1^b y_1^d} \\ -\frac{b y_1}{x_1^a y_1^c} & \frac{d y_1}{x_1^b y_1^d} \end{pmatrix} = \frac{1}{\det A} \begin{pmatrix} x_1 & 0 \\ 0 & y_1 \end{pmatrix} \begin{pmatrix} d & -c \\ -b & a \end{pmatrix} \begin{pmatrix} \frac{1}{x} & 0 \\ 0 & \frac{1}{y} \end{pmatrix} = \begin{pmatrix} x_1 & 0 \\ 0 & y_1 \end{pmatrix} A^{-1} \begin{pmatrix} \frac{1}{x} & 0 \\ 0 & \frac{1}{y} \end{pmatrix}$$

In particular, on the logarithmic basis  $(x\partial_x, y\partial_y)$ ,  $\pi^*$  acts by sending  $\lambda z^I \partial_z := x^i y^j (\alpha x \partial_x + \beta y \partial_y)$  to  $x_1^{ai+bj} y_1^{ci+dj} \cdot \frac{1}{\det A} ((\alpha d - \beta c)x_1 \partial_{x_1} + (-\alpha b + \beta a)y_1 \partial_{y_1})$

Hence we write  $\chi = F x \partial_x + G y \partial_y$  with  $F = \sum_{\substack{i \geq -1 \\ j \geq 0}} F_{ij} x^i y^j$   $G = \sum_{\substack{i \geq 0 \\ j \geq -1}} G_{ij} x^i y^j$   
 $= \sum \Lambda_I z^I \cdot \partial_z$ , notation  $\Lambda_I = (F_I, G_I)$

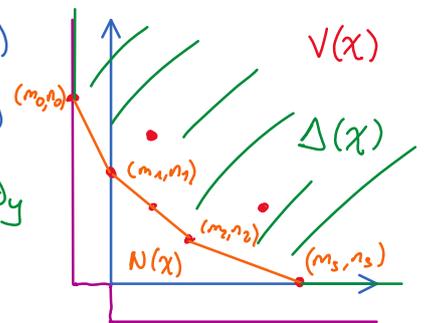
Rem:  $\Lambda_{(-1, j)} = (F_{-1, j}, 0)$   $\Lambda_{(i, -1)} = (0, G_{i, -1})$

Def The Newton polygon of  $\chi = F x \partial_x + G y \partial_y$  w.r.t.  $z = (x, y)$ :  
 $V(\chi) := \{I \mid \Lambda_I \neq 0\}$ ,  $\Delta^g(\chi) = \text{ConvHull}(V(\chi) + \mathbb{R}_{\geq 0}^2) \subseteq \{(-1, 0), (0, -1)\} + \mathbb{R}_{\geq 0}^2$

Newton polygon:  $N(\chi)$ : union of bounded faces of  $\Delta(\chi)$

$(m_0, n_0) \dots (m_s, n_s)$  vertices of  $N(\chi)$  ( $n_e \downarrow, m_e \uparrow$ )

Ex:  $\chi = (y^5 - x^2 y^4 + x^3 y + x^6) \partial_x + (y^4 - 2x y^3 + x^2 y^2 - 2x^4 y^3) \partial_y$



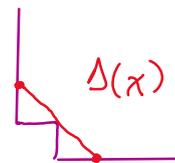
Prop: 1)  $\chi$  is regular  $\Leftrightarrow \lambda_{(-1, 0)} \neq 0$  or  $\lambda_{(0, -1)} \neq 0 \Leftrightarrow (-1, 0)$  or  $(0, -1) \in N(\chi)$   
 $\Rightarrow (0, 0) \in \Delta(\chi) \setminus N(\chi)$

2)  $\chi$  is elementary non-regular  $\Leftrightarrow (0, 0) \in N(\chi) \forall L \in GL(2, \mathbb{C})$

$$\chi^{(1)} = (f_{00} x + f_{-1, 1} y) \partial_x + (g_{1, -1} x + g_{00} y) \partial_y$$

If  $\lambda_{(0, 0)} = (0, 0)$ ,  $\chi^{(1)}$  non-nilpotent  $\Leftrightarrow f_{-1, 1}, g_{1, -1} \neq 0$

If  $f_{00} + g_{00} \neq 0 \Rightarrow \text{tr } \chi^{(1)} \neq 0 \Rightarrow \text{Non nilpotent.}$



If  $f_{00} + g_{00} = 0$ : nilpotent  $\Leftrightarrow \det \chi^{(1)} = 0$ : might happen:  $\chi^{(1)} = \begin{pmatrix} a & b \\ -\frac{a}{b} & -a \end{pmatrix}$

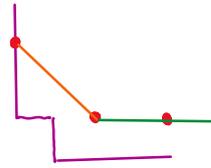
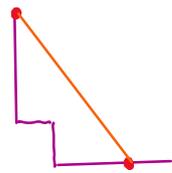
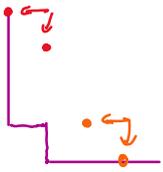
We can avoid this up to linear change of coords

3)  $\chi$  is saturated  $\Rightarrow m_0 \leq 0, n_s \leq 0$ :

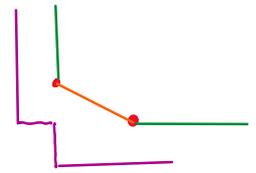
$x \nmid \chi \Rightarrow$  there must be a monomial  $y^j \partial_x ((-1, j))$  or  $y^j \partial_y ((0, j-1))$

$y \nmid \chi \Rightarrow$  there must be a monomial  $x^i \partial_x ((i-1, 0))$  or  $x^i \partial_y ((i, -1))$

Eg:  $\chi = y^3 \partial_x + x^2 \partial_y$



Eg:  $\chi = x^3 \partial_x + y^2 \partial_y$



Eg:  $\chi = (x^4 - y^3) \partial_x + xy \partial_y$

4)  $\chi$  is tangent to  $x=0 \Leftrightarrow$  there are no monomials  $y^j \partial_x$ ;  $\Leftrightarrow m_0 = 0$ .  
 $(-1, j)$

Let now perform a weighted blow-up of weights  $\omega = (a, b)$ , and see how the vector field is transformed  $A = \begin{pmatrix} a & 0 \\ b & 1 \end{pmatrix}$   $A^{-1} = \begin{pmatrix} \frac{1}{a} & 0 \\ -\frac{b}{a} & 1 \end{pmatrix}$

$$\rightarrow \pi^* \Lambda_{\mathbb{I}} z^{\mathbb{I}} \partial_{z_i} = A^{-1} \Lambda_{\mathbb{I}} z_1^{\mathbb{I}A} \partial_{z_1} = x_1^{i_2 + j b} y_1^{j_1} \frac{1}{a} \left( F_{\mathbb{I}} x_1 \partial_{x_1} + \underbrace{(-b F_{\mathbb{I}} + a G_{\mathbb{I}})}_{H_{\mathbb{I}}} y_1 \partial_{y_1} \right)$$

Set  $H := -bF + aG$ . We denote by  $F^{(c)} = \sum_{\langle \mathbb{I}, \omega \rangle = c} F_{\mathbb{I}} z^{\mathbb{I}}$  the  $\omega$ -homogeneous part of  $F$  of degree  $c$  (analogously for  $G, H$ ).

$$c_0: \omega\text{-order of } \chi = \min \{ c \mid \underbrace{F^{(c)} x \partial_x + G^{(c)} y \partial_y}_{\text{for } c=c_0: \omega\text{-initial part}} \neq 0 \}$$

Then we have:  $\chi = \sum_{c \geq c_0} F^{(c)} x \partial_x + G^{(c)} y \partial_y$ .

$$\begin{aligned} \partial \pi^* \chi &= F \circ \pi x_1 \partial_{x_1} + H \circ \pi y_1 \partial_{y_1} \\ &= \sum_{c \geq c_0} x_1^c (F^{(c)}(1, y_1) x_1 \partial_{x_1} + H^{(c)}(1, y_1) y_1 \partial_{y_1}) \\ &= x_1^{c_0} H^{(c_0)}(1, y_1) y_1 \partial_{y_1} + x_1^{c_0+1} (F^{(c_0)}(1, y_1) \partial_{x_1} + H^{(c_0+1)}(1, y_1) y_1 \partial_{y_1}) + x_1^{c_0+2} \eta_2 \end{aligned}$$

As we did for the case of 1-forms, we distinguish 2 cases:

(A)  $\omega$ -NON-DICRITICAL CASE:  $H^{(c_0)} \neq 0$ .  $\chi_{\pi} = x_1^{-c_0} \pi^* \chi = H^{(c_0)}(1, y_1) y_1 \partial_{y_1} + x_1 \eta_1$

$\chi_{\pi}$  is tangent to  $E_{\omega} = \{x_1 = 0\}$

Singularities of: zeroes of  $H^{(c_0)}(1, y_1) \cdot y_1 =: R(y_1)$ .

$\mathbb{I}_{\omega} = (m_{\omega}, n_{\omega}), (m'_{\omega}, n'_{\omega})$  the extremos of  $N(\chi) \cap \{ \langle \mathbb{I}, \omega \rangle = c_0 \} =: N_{\omega}(\chi)$

Similarly,  $(m_H, n_H), (m'_H, n'_H)$  are the extremes of  $N_\omega(H)$ .

By construction:  $\deg R = 1 + n_H \leq 1 + n_\omega$ ;  $\text{ord}_0 R = 1 + n'_H \geq 1 + n'_\omega$

Notice that if  $m_\omega = -1$ , then  $F_{I_\omega} \neq 0, G_{I_\omega} = 0 \Rightarrow H_{I_\omega} = -bF_{I_\omega} \neq 0$ , and  $n_H = n_\omega$ .

Notice that any  $\alpha \in E_\omega \setminus \{\infty\}$  root of  $y_1^H$  of multiplicity  $e_\alpha$  gives a singularity of  $\chi_\alpha$  with  $(0, e-1) \in \Delta(\chi_\alpha)$ . Since  $\chi_\alpha$  is tangent to  $E_\omega$ , we get

$(\tilde{m}_0, \tilde{n}_0) = (0, e_\alpha - 1)$ . In particular, we have decreased the value of the leading vertex, unless  $y_1^H \sim (y_1 - \alpha)^{n_0+1}$

Studying  $\infty$  corresponds to studying 0 in the other chart.

We deduce that  $e_\infty = m_H + 1$ . In general this number can be greater than  $n_0 + 1$ .

But for this to happen, we must have  $bF_I = aG_I \forall I \in N_\omega(X) \setminus N_\omega(H), i < m_H$ .

In particular the order of  $G_{(x_i, 1)}$  at 0 is  $m_\omega$ , and  $\chi_\alpha$  has a monomial

$$x_1^{m_\omega} y_1^2 y_2 \sim (m_\omega, 0) \in V(\chi_\omega). \quad (\text{and } (i, 0) \notin V(\chi_\omega) \forall i < m_\omega)$$

Being  $\chi_\alpha$  tangent to  $E_\omega = \{y_1 = 0\}$ , we get  $(m_\omega, 0) \in N(\chi_\omega)$

(B) • (W)-DICRITICAL CASE:  $H^{(c_0)} \equiv 0$ . In this case  $F^{(c_0)} \equiv G^{(c_0)}$ , and

$$N_\omega(F) = N_\omega(G) = N_\omega(X) \subseteq \mathbb{R}_{\geq 0}^2.$$

$$\chi_\alpha = x_1^{-c_0-1} \pi^* \chi = \underbrace{F^{(c_0)}(1, y_1)}_{\text{polynomial of degree } n_\omega} \partial_{x_1} + H^{(c_0+1)}(1, y_1) y_1 \partial_{y_1} + x_1 \eta_2$$

at a root  $\alpha \in E_\omega \setminus \{\infty\}$  of order  $e$ , we get the monomial  $y_1^e \partial_{x_1} \sim (-1, e-1)$ , and the leading exponent always drops.

At  $\infty$ , the computation is analogous, with the roles of  $F$  and  $G$  ( $x$  and  $y$ ) interchanged. The multiplicity is less than  $m_\omega$

### Resolution algorithm

leading height.

We define the leading edge by  $(m_0, n_0)$  ( $m_0 \leq 0$ ), and the leading edge  $e_\ell$  on the first edge on the left.

We take  $\omega$  on the positive primitive vector orthogonal to  $e_\ell$ .

We blow-up with weight  $w$ .

At  $\infty$ , the height becomes 0 or 1 (i.e., elementary or nilpotent), because  $m_0 \leq 0$  (we also exchange the role of the coordinates, i.e., work with the coordinates  $(y_1, x_1)$ , where  $\pi(x_1, y_1) = (x_1^a, y_1, y_1^b)$ ).

At  $E_w \setminus \{\infty\}$ , the leading height strictly decreases unless  $\chi$  is  $w$ -non-divisible and  $y_1 H^{(c_0)}(x_1, y_1) \asymp (y_1 - 2)^{n_0+1}$

If  $a=0 \Rightarrow F_{m_1, n_1} \neq 0 \Rightarrow y_1^{n_1} x_1 \partial_{x_1}$  is a monomial in  $\chi_{x_1}$  that gives  $(0, n_1) \in N(\chi_{x_1})$ , with  $n_1 < n_0$ .

If  $a \neq 0 \Rightarrow a=1$ , we change coordinates  $y' = y - 2x$

We get the phenomenon analogous to the case of curves.

By iterating the process, we get singularities with  $n_0 \leq 0$ , which are elementary

## Remarks

1) A priori we could have found curves of maximal contact.

Ex: Euler vector field:  $\chi = x^2 \partial_x + (y-x) \partial_y$ .

Notice that this singularity is already elementary

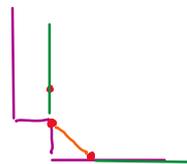
We change coordinates  $x' = x, y' = y - 2x^2 \Phi_{n_1}^*(x', y') = (x', y' + 2x'^{n_1})$

$$d\Phi_{n_1, x}^{-1} = \begin{pmatrix} 1 & 0 \\ -2nx^{n-1} & 1 \end{pmatrix} \chi_1 = \Phi_{n_1}^* \chi = x^2 (\partial_x - \partial_y) + y \partial_y$$

$$\chi_2 = \Phi_{2,1}^* \chi_1 = x^2 (\partial_x - 2x \partial_y) + y \partial_y$$

$$\Phi_{3,2}^* \chi_2 = x^2 (\partial_x - 6x^2 \partial_y) + y \partial_y$$

$$y_\infty = y - \sum_{n=1}^{\infty} (n-1)! x^n \leftarrow \text{divergent.} \quad \chi = x^2 \partial_x - y^\infty \partial_{y_\infty}$$



If we get a similar phenomenon for  $n_0 \geq 1$ , we would get.

$N^{(x, y^\infty)}(\chi) \subseteq \{j \geq 1\}$ , and  $\chi^\infty$  is not rotated,  $\{y_\infty = 0\}$  is a curve of singularities. But  $\text{Sing}(\chi)$  is an analytic subspace, hence the maximal contact curve must converge

2) The algorithms in literature are slightly different in the choice of the leading vertex and edge, see [PELLETIER], or [PANAZZOLO]).

3) This approach has been followed by PANAZZOLO (see also [McQUINN-PANAZZOLO]) to reduce singularities of vector fields in dimension 3.

Notice that starting from dimension 3, we need weighted blow-ups.

Ex (PANAZZOLO) Does not reduce by simple blow-ups (of loci singular for  $\chi_\pi$ )

$$\chi = x(x\partial_x - \alpha y\partial_y - \beta z\partial_z) + xz\partial_y + (y - \lambda x)\partial_z \quad \alpha, \beta \geq 0, \lambda > 0$$

$\text{Sing}(\chi) = \{x=y=0\}$ . We can either blow-up  $O$  or  $L = \{x=y=0\}$

CASE  $\text{Bl}_O$ :  $\pi = (x_1, x_1 y_1, x_1 z_1)$ .

$$\chi = x \cdot x\partial_x + x\left(\frac{z}{y} - \alpha\right)y\partial_y + \left(\frac{y - \lambda x}{z} - \beta x\right)z\partial_z$$

$$\pi^*\chi = x \cdot x\partial_x + x\left(\frac{z}{y} - \alpha - 1\right)y\partial_y + \left(\frac{y - \lambda}{z} - (\beta + 1)x\right)z\partial_z$$

$\text{Sing} \pi^*\chi = \{x = y - \lambda = 0\}$ . We translate coordinates at  $p = (0, \lambda, \lambda(\alpha + 1))$ , and get

$$\pi^*\chi = x \cdot x\partial_x + x(z - (\alpha + 1)y)\partial_y + \left(y - (\beta + 1)x(z + \lambda(\alpha + 1))\right)\partial_z$$

Same singularity with coeff  $(\alpha + 1, \beta + 1, \lambda(\alpha + 1)(\beta + 1))$

CASE  $\text{Bl}_L$ :  $\pi(x_1, y_1, z_1) = (x_1, x_1 y_1, z_1)$

$$\pi^*\chi = x \cdot x\partial_x + x\left(\frac{z}{x y} - \alpha - 1\right)y\partial_y + \left(\frac{y - \lambda}{z} - \beta\right)xz\partial_z$$

$\text{Sing} \pi^*\chi = \{x = z - (\alpha + 1)xy = 0\}$ . We translate at  $(0, \lambda, 0)$ , and get

$$\begin{aligned} \pi^*\chi &= x \cdot x\partial_x + (z - (\alpha + 1)x(y + \lambda))\partial_y + \left(\frac{y}{z} - \beta\right)xz\partial_z \\ &= x \cdot x\partial_x + x\left(\frac{y}{z} - \beta\right)z\partial_z + \left(\frac{z - (\alpha + 1)\lambda x - (\alpha + 1)x}{y}\right)y\partial_y, \end{aligned}$$

which, with respect to coords  $(x, z, y)$ ,

is again of the same form with parameters  $(\beta, \alpha + 1, \lambda(\alpha + 1))$ .

4) Reduction of singularities hold for codim. 1 foliations in any dimension, by [CANTO-CERVEAU, 1992] (non-dicritical) and [CANTO, 2004].